



PATENT
Small Business Concern

Docket No. 21669 USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kim A. Reynolds and Charles P. Marino
Serial No.: 09/066,513 Examiner: N/A
Filed: April 24, 1998 Group Art Unit: 1721
For: ABRASION RESISTANT MULTI-WALL ARTICLE AND
METHOD OF MAKING SAME

Assistant Commissioner
of Patents
Washington, D.C. 20231

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
PURSUANT TO 37 C.F.R. §1.9(f) AND §1.27(c)
SMALL BUSINESS CONCERN

Sir:

I hereby declare that I am:

[] the owner of the small business concern identified below:

[XX] an official empowered to act on behalf of the concern
identified below:

Name Markel CorporationAddress School Lane, P.O. Box 752, Norristown, PA 19404-0752

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §121.12, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under §41(a) and §41(b) of 35 U.S.C., in that: (1) the number of employees of the concern, including those of its affiliates, does not exceed 500 persons; and (2) the concern has not assigned, grant d, conveyed, or lic nsed, and is under no obligation under contract or law to assign, grant, convey, or licens , any rights in the invention to any person who could not be classifi d as an independent inventor if that person had made the invention, or to any concern which would not qualify as a

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small business concern or a nonprofit organization under this section.

For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled ABRASION RESISTANT MULTI-WALL ARTICLE AND METHOD OF MAKING SAME by inventor(s) Kim A. Reynolds and Charles P. Marino described in:

[] specification filed herewith.

[XX] Serial No. 09/066,513, filed April 24, 1998.

[] Patent No. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d), or a nonprofit organization under 37 C.F.R. §1.9(e).

*NOTE: Separate verified statements are required for each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Name _____

Address _____

[] Individual

[] Small Business Concern

[] Non-Profit Organization

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Small Business Concern

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Name _____

Address _____

☐ Individual ☐ Small Business Concern
☐ Non-Profit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the Issue Fee or any Maintenance Fee due after the date on which status as a small entity is no longer appropriate pursuant to 37 C.F.R. §1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dated: 6/22/98

Kim A Reynolds
Name: Kim A. Reynolds
Title: President

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